

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

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**In re** : **Chapter 11 Case No.**  
**LEHMAN BROTHERS HOLDINGS INC., et al.,** : **08-13555 (JMP)**  
**Debtors.** : **(Jointly Administered)**  
-----x **Ref. Docket Nos. 36216, 36217 & 36225**

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

CAROL ZHANG, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On March 27, 2013, I caused to be served the:
  - a) "Notice of Withdrawal of the Three Hundred Ninety-Sixth Omnibus Objection to Claims (Warrant Claims) Solely as to Certain Claims," dated March 26, 2013 [Docket No. 36216], (the "396<sup>th</sup> Omnibus NOW"),
  - b) "Joint Notice of Withdrawal of Claim and Withdrawal of Subpoenas Issued Pursuant to Order Granting the Debtors Authority to Issues Subpoenas for the Production of Documents and Authorizing the Examination of Persons and Entities," *related to Nikko Cordial Securities Inc.*, dated March 27, 2013 [Docket No. 36217], (the "Nikko Joint NOW"), and
  - c) "Notice of Withdrawal of Debtors' Forty-Second Omnibus Objection (Late-Filed Lehman Programs Securities Claims) Solely as to Certain Claim," dated March 27, 2013 [Docket No. 36225], (the "Claim No 66022 NOW"),

by causing true and correct copies of the:

- i. 396<sup>th</sup> Omnibus NOW, Nikko Joint NOW, and Claim No 66022 NOW, to be delivered via electronic mail to those parties listed on the annexed Exhibit A,

- ii. 396<sup>th</sup> Omnibus NOW, Nikko Joint NOW, and Claim No 66022 NOW, to be enclosed securely in separate postage pre-paid envelopes and delivered via overnight mail to those parties listed on the annexed Exhibit B,
- iii. 396<sup>th</sup> Omnibus NOW, to be enclosed securely in a separate postage pre-paid envelope and delivered via first class mail to the party listed on the annexed Exhibit C, and
- iv. Claim No 66022 NOW, to be enclosed securely in a separate postage pre-paid envelope and delivered via first class mail to the party listed on the annexed Exhibit D.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

*/s/ Carol Zhang*  
Carol Zhang

Sworn to before me this  
29<sup>th</sup> day of March, 2013  
/s/ Cassandra Murray

Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

## **EXHIBIT A**

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C/O WILLIAM T. WISSEN, ASSISTANT VICE PRESIDENT  
TEN INDEPENDENCE MALL  
PHILADELPHIA, PA 19106-1574

## **EXHIBIT C**

**LBH NOW OMNI 396 3-27-13**

SHEPPARD, ERIC  
180 BALCROSS DRIVE  
BAL HARBOUR, FL 33154-1390

## **EXHIBIT D**

**LBH NOW\_OMNI 42 3-27-13**

VAN MEURS-BERGSMA, HMA  
GRENSLAAN 6  
AERDENHOUT 2111 GH  
NETHERLANDS